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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date: July 27, 2001

Name: Carol Felenstein

Signature: *Carol Felenstein*
Clifford Chance Rogers & Wells LLP

Docket No. 3499-92

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David Lawrence

Filed: March 20, 2001

Group Art Unit: N/A

Serial No: 09/812,628

Examiner: N/A

For: **AUTOMATED ACCOUNT RISK MANAGEMENT****RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents

BOX: MISSING PARTS

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts (copy enclosed) dated April 30, 2001, received for the above identified application, enclosed please find the following:

1. ☐ Executed Declaration and Power of Attorney
☐ Copy of Executed Declaration and Power of attorney from a prior application
2. ☒ Substitute drawings

Please make the Drawings of record in the above-identified application.

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date:

7/27/01

Respectfully submitted,

J.P. Kincart

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/812,628	03/20/2001	David Lawrence	3499-92

CONFIRMATION NO. 1331

FORMALITIES LETTER



OC000000006023537

Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166-0153

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/28/2001 EEKUBAY1 00000038 500521 09812628

01 FC:105 130.00 CR

*A copy of this notice **MUST** be returned with the reply.*

Void date: 08/28/2001 EEKUBAY1
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01 FC:105 130.00 CR

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

TO: 308-1202